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| APPLICATION NO.         | FILING DATE                        | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-------------------------|------------------------------------|----------------------|---------------------|------------------|
| 10/619,798              | 07/15/2003                         | Subir Ghosh          | OPTI 3140-6         | 7888             |
| 170                     | 7590 03/21/200<br>FEL & WOLFELD LL | •                    | EXAMINER            |                  |
| P O BOX 366             |                                    | ·-                   | ELLIS, KEVIN L      |                  |
| HALF MOON BAY, CA 94019 |                                    |                      | ART UNIT            | PAPER NUMBER     |
|                         |                                    |                      | 2188                |                  |
|                         |                                    |                      | ·                   |                  |
| SHORTENED STATUTOR      | Y PERIOD OF RESPONSE               | MAIL DATE            | DELIVERY MODE       |                  |
| 3 MO                    | NTHS                               | 03/21/2007           | PAPER               |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Application No.                                                                                                                                                              | Applicant(s)                                                              |  |  |  |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|--|--|--|--|
| Office Action Summany                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | 10/619,798                                                                                                                                                                   | GHOSH ET AL.                                                              |  |  |  |  |
| Office Action Summary                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | Examiner                                                                                                                                                                     | Art Unit                                                                  |  |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Kevin L. Ellis                                                                                                                                                               | 2188                                                                      |  |  |  |  |
| The MAILING DATE of this communication app<br>Period for Reply                                                                                                                                                                                                                                                                                                                                                                                                                                                             | ears on the cover sheet with the c                                                                                                                                           | orrespondence address                                                     |  |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). | ATE OF THIS COMMUNICATION<br>36(a). In no event, however, may a reply be time<br>rill apply and will expire SIX (6) MONTHS from<br>cause the application to become ABANDONEI | l. ely filed the mailing date of this communication. O (35 U.S.C. § 133). |  |  |  |  |
| Status                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                              | •                                                                         |  |  |  |  |
| 1) Responsive to communication(s) filed on 11 Ja                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | nuary 2007.                                                                                                                                                                  |                                                                           |  |  |  |  |
| · ·                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        | action is non-final.                                                                                                                                                         |                                                                           |  |  |  |  |
| •                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | on is in condition for allowance except for formal matters, prosecution as to the merits is                                                                                  |                                                                           |  |  |  |  |
| closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                              |                                                                           |  |  |  |  |
| Disposition of Claims                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | •                                                                                                                                                                            |                                                                           |  |  |  |  |
| 4)⊠ Claim(s) <u>2-98</u> is/are pending in the application.                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                              |                                                                           |  |  |  |  |
| 4a) Of the above claim(s) is/are withdrawn from consideration.                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                              |                                                                           |  |  |  |  |
| 5) Claim(s) is/are allowed.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                              |                                                                           |  |  |  |  |
| 6)⊠ Claim(s) <u>2-98</u> is/are rejected.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                                                                                                              |                                                                           |  |  |  |  |
| 7) Claim(s) is/are objected to.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                              |                                                                           |  |  |  |  |
| 8) Claim(s) are subject to restriction and/or election requirement.                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                                                                                                                                                                              |                                                                           |  |  |  |  |
| Application Papers                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                              |                                                                           |  |  |  |  |
| 9) The specification is objected to by the Examiner.                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                              |                                                                           |  |  |  |  |
| 10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                                                                                                              |                                                                           |  |  |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                              |                                                                           |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                              |                                                                           |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                              |                                                                           |  |  |  |  |
| Priority under 35 U.S.C. § 119                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                              |                                                                           |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                              |                                                                           |  |  |  |  |
| 1. Certified copies of the priority documents have been received.                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                              |                                                                           |  |  |  |  |
| 2. Certified copies of the priority documents have been received in Application No                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                                                                                                              |                                                                           |  |  |  |  |
| 3.☐ Copies of the certified copies of the priority documents have been received in this National Stage                                                                                                                                                                                                                                                                                                                                                                                                                     |                                                                                                                                                                              |                                                                           |  |  |  |  |
| application from the International Bureau (PCT Rule 17.2(a)).                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                              |                                                                           |  |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.                                                                                                                                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                                              |                                                                           |  |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                              |                                                                           |  |  |  |  |
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |                                                                                                                                                                              |                                                                           |  |  |  |  |
| Attachment(s)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                              |                                                                           |  |  |  |  |
| 1) Notice of References Cited (PTO-892)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | 4) Interview Summary                                                                                                                                                         | (PTO-413)                                                                 |  |  |  |  |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Paper No(s)/Mail Da                                                                                                                                                          | te                                                                        |  |  |  |  |
| 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date                                                                                                                                                                                                                                                                                                                                                                                                                                                   | 5) Notice of Informal Pa                                                                                                                                                     | atent Application                                                         |  |  |  |  |

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### **Detailed Action**

1. Claims 2-98 are presented for examination.

2. Information disclosed and listed on PTO 1449 has been crossed off the IDS. The information has been read and placed in the file. The information is a statement by Applicant and not a prior art disclosure that can be considered as part of an IDS. However, because of Applicant's admission a requirement under 37 CFR § 1.105 has been made.

## Requirement for Information - 37 CFR § 1.105

- 3. Applicant and the assignee of this application are required under 37 CFR 1.105 to provide the following information that the examiner has determined is reasonably necessary to the examination of this application.
- 4. Applicant's IDS filed 1/11/07 has brought to the attention of the Examiner potential documents that could be pertinent to determining patentability. Thus, in response to this requirement, please provide a copy of any documents that would be prior art relating to the alleged prior art invention by Intel Corporation and Compaq Computer Corporation as stated in the IDS.

### Claim Rejections – 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for a patent.

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(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

- 6. Claims 2-98 are rejected under 35 U.S.C. § 102(e) as being anticipated by Hayek et al., U.S. Patent 5,630,094.
  - A) As to claims 2-98, Hayek et al. teaches a system that performs snoop ahead transactions (predictive snooping see Abstract). Hayek et al. teaches that the system will generate a next sequential address to perform a snoop on the next sequential line (see Col 4 Lines 38-49), this snoop ahead transactions prevents wait or delay cycles from occurring.

This rejection of the claims to Hayek et al. is made similarly to that made in parent application 08/851,666, and that rejection is incorporated here. As stated in Applicant's IDS submission, two Declarations under 37 CFR 1.131(b) were filed in that parent application to overcome this rejection. Those Declarations need to be refiled in this case because the Declarations must be directed to the particular claims in this case, which would overcome this present rejection as it did in the parent application.

#### Conclusion

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kevin L. Ellis whose telephone number is 571-272-4205. The examiner can normally be reached on weekdays from 6:00AM-2:30PM.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hyung Sough can be reached on 571-272-6799. The fax phone numbers for the organization where this application or proceeding is assigned is 571-272-8300.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.

Kevin L. Ellis Primary Examiner March 15, 2007

Kei 2 2 ll.

HYUNG SOUGH SUPERVISORY PATENT EXAMINER

3-15-07